

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian N. Tufte

Serial No.: 10/074,364

Examiner: I. Negron

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101104

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 15th day of August, 2003.

By: Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

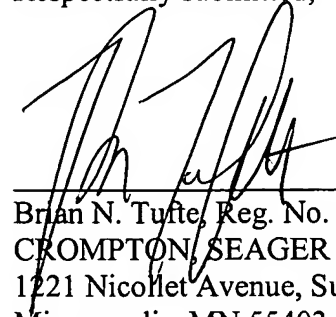
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached

Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

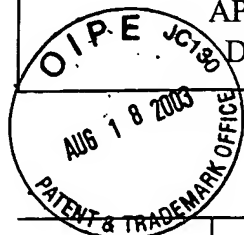
Respectfully submitted,

Dated: August 15, 2003

A handwritten signature in black ink, appearing to read 'Brian N. Tufte', written over a horizontal line.

Brian N. Tufte, Reg. No. 38,638  
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<b>FORM PTO-1449</b>  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.:	Serial No.:
	1076.1101104	10/074,364
	Applicant: Brian N. Tufte	
	Filing Date:	Group Art:
	February 12, 2002	2875



### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	2,339,085	01/1944	Luckiesh, Matthew			
	2,930,885	03/1960	Ehrenfreund, Herbert A.			
	3,612,848	10/1971	Koch et al.			
	3,675,527	07/1972	Reeder, Jr., George, H.			
	4,955,044	09/1990	Amstutz et al.			
	5,469,342	11/1995	Chien, Tseng L.			
	5,475,574	12/1995	Chien, Tseng-Lu			
	5,566,384	10/1996	Chien			
	5,570,945	11/1996	Chien et al.			
	5,611,621	03/1997	Chien, Tseng-Lu			
	5,746,501	05/1998	Chien, Tseng Lu			
	5,871,269	02/1999	Fjaestad et al.			
	5,873,646	02/1999	Fjaestad et al.			
	5,917,288	06/1999	Feldman et al.			
	6,033,085	03/2000	Bowker, James W.			
	6,082,867	07/2000	Chien, Tseng-Lu			
	6,179,431	01/2001	Chien, Tseng-Lu			
	6,270,229	08/2001	Chien, Tseng-Lu			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.